Patent

## ÍN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

James Barry et al.

Group Art Unit

3738

Application No.

09/842,833

Examiner

Alvin J. Stewart

Filed

April 27, 2001

Docket No.

12013/58401

For

METHOD AND SYSTEM FOR DELIVERY OF

COATED IMPLANTS

MAIL STOP AMENDMENTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). The paragraphs marked below are applicable. It is believed that no fees other than those indicated below are due, but authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a final action, Notice of Allowance, or any action that otherwise closes prosecution.

09/26/2005 HALI11 00000059 110600 09842833

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180.00 DA

Receipt date: 09/22/2005 , 09842833 - GAU: 3774

Please debit Deposit Account No. <u>11-0600</u> in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. 37 CFR §1.97(c)(2).

Respectfully submitted,

**KENYON & KENYON** 

Date: September 22, 2005

Fred T. Grasso

Registration No. 43,644

Kenyon & Kenyon 1500 K Street, N.W. Washington, D.C. 20005 Telephone: (202) 220-4200 Facsimile: (202) 220-4201 Receipt date 109/22/2005

Sheet

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known					
Application Number	09/842,833	_			
Filing Date	April 27, 2001				
First Named Inventor	James Barry et al.				
Art Unit	3738				
Examiner Name	Alvin J. Stewart				
Attorney Docket Number	12013/58401				

U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant		
	No.¹ Number - Kind Code² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear			
	1	US-6,096,056	08-01-2000	Brown			
		US-					
		US-					

FOREIGN PATENT DOCUMENTS							
Evaminar	Cite	Cite Foreign Patent Document		Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant		
Examiner Cite Initials* No. <sup>1</sup>		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	T⁵	
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	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *							
1							
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Examiner Signature	/Alvin Stewart/	Date Considered	11/21/2008

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Receipt dates 2/22/2005

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## **FEE TRANSMITTAL** for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 180.00

Complete if Known					
Application Number_	09/842,833				
Filing Date	April 27, 2001				
First Named Inventor	James Barry et al.				
Examiner Name	Alvin J. Stewart				
Art Unit	3738				
Attorney Docket No.	12013/58401	J			

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order ☑ Deposit Account:		3. ADDITIONAL FEES						
		<u>Large</u>	Entity	Small E	Entity			
Deposit		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Paid		
Account	11-0600	1051	130	2051	65	Surcharge - late filing fee or oath		
Number		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit	Kanyan & Kanyan	1053	130	1053	130	Non-English specification		
Account Name	Kenyon & Kenyon	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
The Director is authorized to: (check all that apply)		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
	fied deposit account.	1251	120	2251	60	Extension for reply within first month		
	FEE CALCULATION	1252	450	2252	2225	Extension for reply within second month		
1. BASIÇ FI	LING FEE	1253	1,020	2253	510	Extension for reply within third month		
Large Entity	Small Entity	1254	1,590	2254	795	Extension for reply within fourth month		
	ee Fee <u>Fee Description</u>	1255	2,160	2255	1,080	Extension for reply within fifth month		
	ode (\$) Fee Paid 001 395 Utility filing fee	1401	500	2401	250	Notice of Appeal		
	002 175 Design filling fee	1402	500	2402	225	Filing a brief in support of an appeal		
	003 275 Plant filing fee	1403	300	2403	150	Request for oral hearing		
	004 395 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1005 160 2	005 80 Provisional filling fee	1452	110	2452	55	Petition to revive – unavoidable		
· '		1453	1,370	2453	685	Petition to revive – unintentional		
	SUBTOTAL (1) (\$) 0	1501	1,370	2501	685	Utility issue fee (or reissue)		
2. EXTRA CLA	IM FEES FOR UTILITY AND REISSUE	1502	490	2502	245	Design issue fee		
	Fee from	1503	660	2503	330	Plant issue fee		
Total Claims	Extra Claims below Fee Paid	1460	130	1460	130	Petitions to the Commissioner		
Independent		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)		
Claims	-3 ** = X 88 =	1806	180	1806	180	Submission of Information Disclosure Stmt 180		
Multiple Dependent	x = 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
Large Entity	Small Entity	1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))		
Fee Fee Code (\$)	Fee Fee <u>Fee Description</u> Code (\$)	1810	790	2810	395	For each additional invention to be		
1202 18	2202 9 Claims in excess of 20					examined (37 CFR § 1.129(b))		
1201 88	2201 44 Independent claims in excess of 3	4004	700	0004	205	Particular for Continued Everyingtion (PCE)		
1203 300	2203 150 Multiple dependent claim, if not paid ** Reissue independent claims over	1801	790	2801	395	Request for Continued Examination (RCE)		
1204 88	2204 44 original patent	1802	900	1802	900	Request for expedited examination of a design application		
1205 18	2205 9 ** Reissue claims in excess of 20 and over original patent	Other	ee (speci	Ι 6/)		or a doorger approximati		
	SUBTOTAL (2) (\$)		ced by B		- a Fee P	aid		
**or number previo	usly paid, if greater; For Reissues, see above	1	<b>, -</b>		J /	SUBTOTAL (3) (\$) 180.00		
5	,, 3,					<del></del>		

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Fred T. Grasso	Registration No. (Attorney/Agent)	436441	Telephone	202.220.4200		
Signature H. Caro				Date	September 22, 2005		

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